Practitioner's Docket No. 210_187DIV

PATENT

NEW APPLICATION TRANSMITTAL

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, Va 22313-1450 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 24, 2003 under "EXPRESS MAIL" mailing label number EV 31,7007978US.

Transmitted herewith for filing is the patent application of:

Inventor 1:

Neelkanth S. Gupte

Address:

715 Black Brant Drive, Liverpool, NY 13090

For: HEAT EXCHANGER FOR HIGH STAGE GENERATOR OF ABSORPTION CHILLER

1. Type of Application

This is a request for filing a Divisional Application under 37 C.F.R. §1.53(b), of pending prior application:

Serial No.:

09/891,721

Prior Art Unit: 3744

Filed: June 26, 2001

2001 Prior Examiner:

Jiang, Chen Wen

Title: HEAT EXCHANGER FOR HIGH STAGE GENERATOR OF ABSORPTION

CHILLER

Inventors:

Neelkanth S. Gupte.

2. Papers Enclosed

- A. Required for filing date under 37 CFR 1.53(b) (Regular) are:
- 9 Page(s) of Specification
- 5 Page(s) of Claims
- 1 Page(s) of Abstract
- 15 Sheets of Drawings (Figs. 1-12B)

B. Other Papers Enclosed

- [X] Preliminary Amendment
- [X] Amendment to claims
 - [X] Cancel in this application claims <u>1-36</u> before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
 - Add the claims shown on the attached amendment. (Claims added have been numbered consecutively following the highest numbered original claims.)
- [X] A copy of the Declaration and Power of Attorney from the prior application
- 3. [X] Amend the specification by inserting after the title and before the first line the sentence:

-- Cross Reference to Related Application

This is a divisional application of U.S. Serial No. 09/891,721, filed June 26, 2001, now allowed, the entirety of which is incorporated herein by reference.--

4. **Relate Back**

5.

| A. 35 U.S.C. 119(e) | | | | | |
|---|--|--|--|--|--|
| [] | Priority of provisional application Serial No. filed_, is hereby claimed under 35 U.S.C. §119(e). | | | | |
| B. | 35 U.S.C. 120, 121 and 365(c) | | | | |
| [x] | Priority of said prior application <u>09/891,721</u> is hereby claimed under 35 U.S.C. §120. | | | | |
| C. | 35 U.S.C. 119 Priority Claim for Prior Application | | | | |
| [] | Priority of foreign application(s) No., filed in is hereby claimed under 35 U.S.C. §119. | | | | |
| [] | A certified copy of the above corresponding foreign application was filed in prior application Serial No. on . | | | | |
| [] | A certified copy of the above corresponding foreign application is filed herewith. | | | | |
| Declaration or Oath (including Power of Attorney) | | | | | |

The power of attorney in the prior application is to Owen D. Marjama, Reg. No. 22,818:

- The power appears in the New Power of Attorney form filed herewith.
- A copy of the power in the prior application is enclosed. [X]
- Power filed in the previous case no new power is necessary. []
- Address all future communications to: [X]

Owen D. Marjama WALL MARJAMA & BILINSKI LLP 101 South Salina Street, Suite 400 Syracuse, NY 13202 Telephone: (315) 425-9000

Facsimile: (315) 425-9114

6. **Inventorship Statement**

The inventorship for all the claims in this application are:

| [x] | the same. not the same. An explanation, including the ownership of the various claim | ms at the |
|--------------|--|-----------|
| | time the last claimed invention was made, | |
| | [] is submitted. | |
| | [] will be submitted. | ` |

7. **Assignment**

The prior application is assigned of record to <u>Carrier Corporation</u> at Reel <u>011941</u>, Frame(s)

8. Small Entity Status

<u>0276</u>.

Small entity was established in the parent application via a verified statement filed on.

9. The filing fee has been calculated as shown below:

| | | Total Fees Due | \$ | 1290.00 |
|----|--------------|---|-----|---------|
| C. | [] | Assignment Fee | \$_ | .00 |
| | [] | multiple dependent claim(s) (37 C.F.R. 1.16(d)-\$280.00: small entity-\$140.00) | \$_ | .00 |
| | [X] | each claim in excess of 20 (37 C.F.R. 1.16(c)-\$18.00; small entity-\$9.00) | \$_ | 36.00 |
| B. | Fees fo | or Claims (22 Claims; 9 Indep.) each independent claim in excess of 3 (37 C.F.R. 1.16(b)-\$84.00; small entity-\$42.00) | \$_ | 504.00 |
| A. | Filing [X] | Fee Original Patent Application (37 C.F.R. 1.16(a) -\$750.00; Small Entity-\$375.00) | \$ | 750.00 |

10. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment

- [X] A check in the amount of \$1290.00 is enclosed.
- [] Charge Deposit Account <u>50-0289</u> in the amount of.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0289. A duplicate copy of this sheet is enclosed.
 - [X] Any additional filing fees required under 37 CFR 1.16.
 - [x] Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

WALL MARJAMA & BILINSKI LLP

June 24, 2003 Date

Owen D. Marjama Reg. No. 22,818

ODM/cmh

Telephone: (315) 425-9000

Customer No .:



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PATENT TRADEMARK OFFICE